

#5

PATENTS  
PATENTS

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

|                   |                                                                                                                                         |                  |                           |
|-------------------|-----------------------------------------------------------------------------------------------------------------------------------------|------------------|---------------------------|
| <b>Applicant:</b> | Michiko Fukuda                                                                                                                          | <b>Examiner:</b> | Unassigned                |
| <b>Serial No:</b> | 10/043,784                                                                                                                              | <b>Art Unit:</b> | 2643                      |
| <b>Filed:</b>     | November 7, 2001                                                                                                                        | <b>Docket:</b>   | 15056                     |
| <b>For:</b>       | TELEPHONE UNIT HAVING<br>TELEPHONE DIRECTORY WITH<br>PICTURE DATA AND METHOD FOR<br>GENERATING TELEPHONE DIRECTORY<br>WITH PICTURE DATA |                  | <b>Dated:</b> May 7, 2002 |

Assistant Commissioner for Patents  
Washington, D.C. 20231

**PETITION**

Sir:

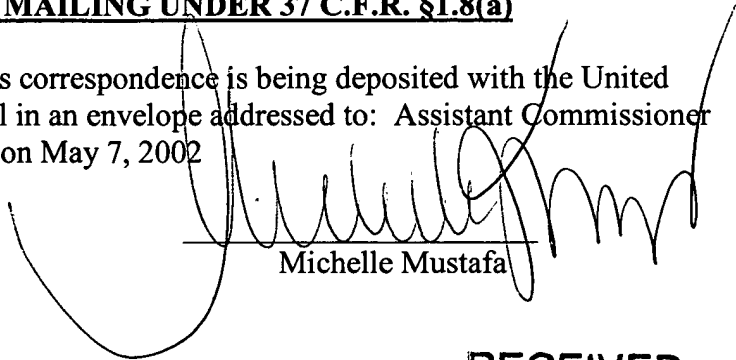
In reply to the Notice of Omitted Item(s) in a NonProvisional Application dated March 8, 2002, Applicant herewith submits the required Figures 2, 3 and 4, noting that this does not introduce new subject matter. According to our files, Figures 2, 3 and 4 were deposited together with the application as filed.

---

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on May 7, 2002

Dated: May 7, 2002

  
Michelle Mustafa

**RECEIVED**

**JUN 28 2002**

**OFFICE OF PETITIONS**

A Declaration of Michelle Mustafa, docketing clerk, and Debra Gerber, legal secretary, at Scully, Scott, Murphy & Presser is enclosed together with a copy of our original transmittal letter, postcard receipt and New Patent Application Checklist indicating that four sheets formal drawings were deposited on November 7, 2001.

Acceptance of Figures 2, 3, and 4 is respectfully solicited. The required Petition Fee in the amount of \$130.00 is enclosed. Any additional fees should be charged to deposit account 19-1013 SSMP.

Respectfully submitted,



Paul J. Esatto, Jr.  
Registration No.: 30,749

Scully, Scott, Murphy & Presser  
400 Garden City Plaza  
Garden City, New York 11530  
(516) 742-4343

PJE:ae

**RECEIVED**  
JUN 28 2002  
OFFICE OF PETITIONS



#0300  
#9

PATENTS

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

|                   |                                                                                                                                         |                  |             |
|-------------------|-----------------------------------------------------------------------------------------------------------------------------------------|------------------|-------------|
| <b>Applicant:</b> | Michiko Fukuda                                                                                                                          | <b>Examiner:</b> | Unassigned  |
| <b>Serial No:</b> | 10/043,784                                                                                                                              | <b>Art Unit:</b> | 2643        |
| <b>Filed:</b>     | November 7, 2001                                                                                                                        | <b>Docket:</b>   | 15056       |
| <b>For:</b>       | TELEPHONE UNIT HAVING<br>TELEPHONE DIRECTORY WITH<br>PICTURE DATA AND METHOD FOR<br>GENERATING TELEPHONE DIRECTORY<br>WITH PICTURE DATA | <b>Dated:</b>    | May 7, 2002 |

Assistant Commissioner for Patents  
Washington, D.C. 20231

**REPLY TO NOTICE OF OMITTED ITEM(S) IN  
A NONPROVISIONAL APPLICATION**

Sir:

In response to the Notice of Omitted Items(s) in a Nonprovisional Application dated March 8, 2002, Applicant is enclosing herewith Figs. 2, 3, and 4 of the drawings together with a Petition.

Any additional charges required in connection with this submission may be charged to Deposit Account No. 19-1013/SSMP. A duplicate sheet of this sheet is enclosed.

---

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on May 7, 2002

Dated: May 7, 2002

  
Michelle Mustafa

This submission is believed to be timely and in compliance with 37 C.F.R.

§1.84. A copy of the Notice of Omitted Item(s) in a Nonprovisional Application is enclosed as required.

Respectfully submitted,



Paul J. Esatto, Jr.

Registration No.: 30,749

Scully, Scott, Murphy & Presser  
400 Garden City Plaza  
Garden City, New York 11530  
(516) 742-4343

PJE:ae



#4

**PATENTS**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

|                   |                                                                                                                                         |                  |            |
|-------------------|-----------------------------------------------------------------------------------------------------------------------------------------|------------------|------------|
| <b>Applicant:</b> | Michiko Fukuda                                                                                                                          | <b>Examiner:</b> | Unassigned |
| <b>Serial No:</b> | 10/043,784                                                                                                                              | <b>Art Unit:</b> | 2643       |
| <b>Filed:</b>     | November 7, 2001                                                                                                                        | <b>Docket:</b>   | 15056      |
| <b>For:</b>       | TELEPHONE UNIT HAVING<br>TELEPHONE DIRECTORY WITH<br>PICTURE DATA AND METHOD FOR<br>GENERATING TELEPHONE DIRECTORY<br>WITH PICTURE DATA |                  |            |

Assistant Commissioner for Patents  
Washington, DC 20231

**DECLARATION**

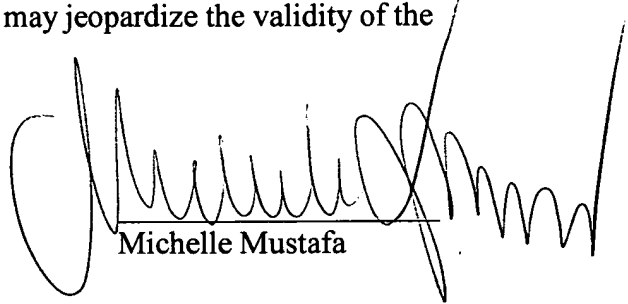
I, Michelle Mustafa, the undersigned, hereby state the following:

1. I am a docketing clerk at the law firm of Scully, Scott, Murphy, and Presser (hereinafter SSMP) located in Garden City, New York, United States of America.
2. Our firm represents NEC Corporation (hereinafter NEC) in numerous U.S. patent matters.
3. In my capacity as a docketing clerk it is among my responsibilities to check all Express Mail postings to the U.S. Patent and Trademark Office via the U.S. Postal Service on the date of their departure. This check includes counting the number of specification pages, including claims, abstract, and drawings, checking to make sure all documents are signed, verifying that Express Mail numbers on the transmittals match the labels and certificates of mailing, making sure that all checks are included and double checking that everything listed on the postcard is enclosed.
4. By signing the Certificate of Mailing it indicates that I performed all of the checks identified in paragraph 3 above, including counting four sheets of formal drawings.

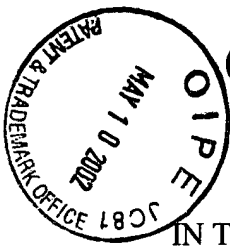
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are

believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Dated: May 7, 2002



Michelle Mustafa



**PATENTS**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

|                   |                                                                                                                                         |                  |            |
|-------------------|-----------------------------------------------------------------------------------------------------------------------------------------|------------------|------------|
| <b>Applicant:</b> | Michiko Fukuda                                                                                                                          | <b>Examiner:</b> | Unassigned |
| <b>Serial No:</b> | 10/043,784                                                                                                                              | <b>Art Unit:</b> | 2643       |
| <b>Filed:</b>     | November 7, 2001                                                                                                                        | <b>Docket:</b>   | 15056      |
| <b>For:</b>       | TELEPHONE UNIT HAVING<br>TELEPHONE DIRECTORY WITH<br>PICTURE DATA AND METHOD FOR<br>GENERATING TELEPHONE DIRECTORY<br>WITH PICTURE DATA |                  |            |

Assistant Commissioner for Patents  
Washington, D.C. 20231

**DECLARATION**

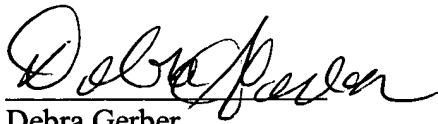
I, Debra Gerber, the undersigned, hereby state the following:

1. I am a legal secretary at the law firm of Scully, Scott, Murphy, and Presser (hereinafter SSMP) located in Garden City, New York, United States of America.
2. Our firm represents NEC Corporation (hereinafter NEC) in numerous U.S. patent matters.
3. In my capacity as legal secretary it is among my responsibilities to prepare the documents for filing new U.S. patent applications. It is the procedure at SSMP for the secretary to prepare all the transmittal letters, obtain the necessary checks, copy the application papers, including specification, claims, abstract and drawings, and verify that the Express Mail numbers on the transmittals match the label and certificates of mailing. In particular, the specification pages are counted to make sure that all the pages are there and the application is complete. Once the application has been signed, the application papers are deposited in a Patent and Trademark out box in our docketing department. The docketing clerk then double checks that all the paperwork is complete. (See, Declaration of Michelle Mustafa).
4. Further, a New Patent Application Checklist is filled out, wherein the number of pages of the specification, transmittal pages and drawing sheets are listed, as well the check amounts and Claim of Priority, Information Disclosure

Statement, etc. Enclosed is the checklist for docket 15056 which was prepared by me and initialed on the date the application was filed. The checklist indicates that I counted four sheets of drawings.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

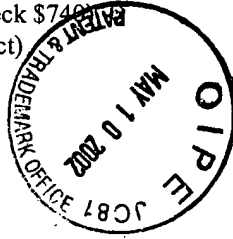
Dated: May 7, 2002

  
Debra Gerber



**PATENT OFFICE DATE STAMP WILL ACKNOWLEDGE RECEIPT OF:**

1. New Utility Patent Application Transmittal (in duplicate; check \$740)
2. New Patent Application (19 pages including claims & abstract)
3. Four (4) sheets of formal drawings
4. Declaration & POA
5. Assignment with recordal (in duplicate; check \$40)
6. Claim of Priority (herewith)
7. Certified Copy of Priority Document
8. Information Disclosure Statement; PTO-1449; 8 references
9. Certificate of Express Mail #EL 913702333 US



Applicant: Michiko Fukuda  
Filed: Herewith  
Serial No.: Unassigned  
For: TELEPHONE UNIT HAVING TELEPHONE DIRECTORY...  
Docket: 15056  
Dated: November 7, 2001  
PJE:dg

**PATENT OFFICE DATE STAMP WILL ACKNOWLEDGE RECEIPT OF:**

1. New Utility Patent Application Transmittal (in duplicate; check \$740)
2. New Patent Application (19 pages including claims & abstract)
3. Four (4) sheets of formal drawings
4. Declaration & POA
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7. Certified Copy of Priority Document
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9. Certificate of Express Mail #EL 913702333 US

J1017 U.S. PTO

10/043784



11/07/01

Applicant: Michiko Fukuda  
Filed: Herewith  
Serial No.: Unassigned  
For: TELEPHONE UNIT HAVING TELEPHONE DIRECTORY...  
Docket: 15056  
Dated: November 7, 2001  
PJE:dg

**UTILITY PATENT APPLICATION TRANSMITTAL  
(Large Entity)**Docket No.  
15056Total Pages in this Submission  
4

Only for new nonprovisional applications under 37 CFR 1.53(b))

**TO THE ASSISTANT COMMISSIONER FOR PATENTS**Box Patent Application  
Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

**TELEPHONE UNIT HAVING TELEPHONE DIRECTORY WITH PICTURE DATA AND METHOD FOR GENERATING TELEPHONE DIRECTORY WITH PICTURE DATA**

and invented by:

Michiko Fukuda

If a **CONTINUATION APPLICATION**, check appropriate box and supply the requisite information:☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Enclosed are:

**Application Elements**

1. ☒ Filing fee as calculated and transmitted as described below
2. ☒ Specification having 19 pages and including the following:
  - a. ☒ Descriptive Title of the Invention
  - b. ☐ Cross References to Related Applications (if applicable)
  - c. ☐ Statement Regarding Federally-sponsored Research/Development (if applicable)
  - d. ☐ Reference to Microfiche Appendix (if applicable)
  - e. ☒ Background of the Invention
  - f. ☒ Brief Summary of the Invention
  - g. ☒ Brief Description of the Drawings (if drawings filed)
  - h. ☒ Detailed Description
  - i. ☒ Claim(s) as Classified Below
  - j. ☒ Abstract of the Disclosure

**UTILITY PATENT APPLICATION TRANSMITTAL**  
**(Large Entity)**

*(Only for new nonprovisional applications under 37 CFR 1.53(b))*

Docket No.  
15056

Total Pages in this Submission  
4

**Application Elements (Continued)**

3. ☒ Drawing(s) *(when necessary as prescribed by 35 USC 113)*
- a. ☒ Formal                      Number of Sheets 4
- b. ☐ Informal                      Number of Sheets \_\_\_\_\_
4. ☒ Oath or Declaration
- a. ☒ Newly executed *(original or copy)*                      ☐ Unexecuted
- b. ☐ Copy from a prior application (37 CFR 1.63(d)) *(for continuation/divisional application only)*
- c. ☒ With Power of Attorney                      ☐ Without Power of Attorney
- d. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application,  
see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference *(usable if Box 4b is checked)*  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under  
Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby  
incorporated by reference therein.
6. ☐ Computer Program in Microfiche *(Appendix)*
7. ☐ Nucleotide and/or Amino Acid Sequence Submission *(if applicable; all must be included)*
- a. ☐ Paper Copy
- b. ☐ Computer Readable Copy *(identical to computer copy)*
- c. ☐ Statement Verifying Identical Paper and Computer Readable Copy

**Accompanying Application Parts**

8. ☒ Assignment Papers *(cover sheet & document(s))*
9. ☐ 37 CFR 3.73(B) Statement *(when there is an assignee)*
10. ☐ English Translation Document *(if applicable)*
11. ☒ Information Disclosure Statement/PTO-1449                      ☒ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Acknowledgment postcard
14. ☒ Certificate of Mailing
- ☐ First Class                      ☒ Express Mail *(Specify Label No.):* EL 913702333 US

**UTILITY PATENT APPLICATION TRANSMITTAL**  
**(Large Entity)**

*(Only for new nonprovisional applications under 37 CFR 1.53(b))*

Docket No.  
15056

Total Pages in this Submission  
4

**Accompanying Application Parts (Continued)**

15. ☒ Certified Copy of Priority Document(s) *(if foreign priority is claimed)*
16. ☒ Additional Enclosures *(please identify below):*

Assignee Name: NEC Corporation  
Assignee Address: Tokyo, Japan

Claim of Priority (herewith)

**Request That Application Not Be Published Pursuant To 35 U.S.C. 122(b)(2)**

17. ☐ Pursuant to 35 U.S.C. 122(b)(2), Applicant hereby requests that this patent application not be published pursuant to 35 U.S.C. 122(b)(1). Applicant hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing of the application.

**Warning**

***An applicant who makes a request not to publish, but who subsequently files in a foreign country or under a multilateral international agreement specified in 35 U.S.C. 122(b)(2)(B)(i), must notify the Director of such filing not later than 45 days after the date of the filing of such foreign or international application. A failure of the applicant to provide such notice within the prescribed period shall result in the application being regarded as abandoned, unless it is shown to the satisfaction of the Director that the delay in submitting the notice was unintentional.***

**UTILITY PATENT APPLICATION TRANSMITTAL**  
**(Large Entity)**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.  
15056

Total Pages in this Submission  
4

**Fee Calculation and Transmittal**

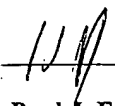
**CLAIMS AS FILED**

| For                                                                      | #Filed | #Allowed | #Extra | Rate      | Fee      |
|--------------------------------------------------------------------------|--------|----------|--------|-----------|----------|
| Total Claims                                                             | 16     | - 20 =   | 0      | x \$18.00 | \$0.00   |
| Indep. Claims                                                            | 2      | - 3 =    | 0      | x \$84.00 | \$0.00   |
| Multiple Dependent Claims (check if applicable) <input type="checkbox"/> |        |          |        |           | \$0.00   |
| BASIC FEE                                                                |        |          |        |           | \$740.00 |
| OTHER FEE (specify purpose)                                              |        |          |        |           | \$0.00   |
| TOTAL FILING FEE                                                         |        |          |        |           | \$740.00 |

- ☒ A check in the amount of \$740.00 to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 19-1013/SSMP as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of as filing fee.
- ☒ Credit any overpayment.
- ☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
- ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

Dated: November 7, 2001

PJE:dg  
cc:

  
Signature  
Paul J. Esatto, Jr.  
Registration No. 30,749  
SCULLY, SCOTT, MURPHY & PRESSER  
400 Garden City Plaza  
Garden City, NY 11530  
(516) 742-4343

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

Docket No.

15056

Applicant(s): Michiko Fukuda

Serial No.

Unassigned

Filing Date

Herewith

Examiner

Unassigned

Group Art Unit

Unassigned

Invention:

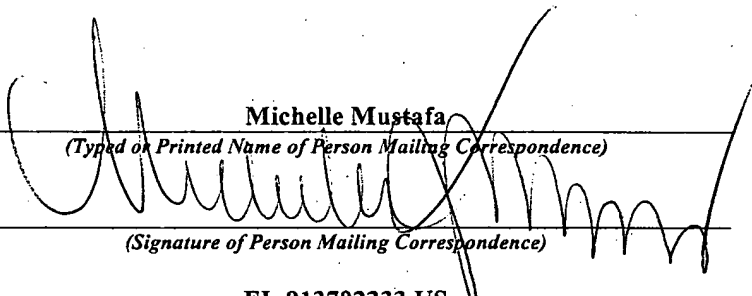
TELEPHONE UNIT HAVING TELEPHONE DIRECTORY WITH PICTURE DATA AND METHOD FOR GENERATING TELEPHONE DIRECTORY WITH PICTURE DATA

I hereby certify that the following correspondence:

New Patent Application

*(Identify type of correspondence).*

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

November 7, 2001*(Date)*Michelle Mustafa*(Typed or Printed Name of Person Mailing Correspondence)*  
*(Signature of Person Mailing Correspondence)*EL 913702333 US*("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**

## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 10/043,784         | 11/07/2001          | Michiko Fukuda        | 15056                  |

CONFIRMATION NO. 7912

## FORMALITIES LETTER



\*OC000000007603919\*

Paul J. Esatto, Jr.  
Scully, Scott, Murphy & Presser  
400 Garden City Plaza  
Garden City, NY 11530

Date Mailed: 03/08/2002

## NOTICE OF OMITTED ITEM(S) IN A NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

A filing date has been accorded to the above-identified nonprovisional application papers; however, the following item(s) appear to have been omitted from the application:

- Figure(s) 2,3,4 described in the specification.

I. Should applicant contend that the above-noted omitted item(s) was in fact deposited in the U.S. Patent and Trademark Office (USPTO) with the nonprovisional application papers, a copy of this Notice and a petition (and \$130.00 petition fee (37 CFR 1.17(h))) with evidence of such deposit **must** be filed within **TWO MONTHS** of the date of this Notice. The petition fee will be refunded if it is determined that the item(s) was received by the USPTO.

II. Should applicant desire to supply the omitted item(s) and accept the date that such omitted item(s) was filed in the USPTO as the filing date of the above-identified application, a copy of this Notice, the omitted item(s) (with a supplemental oath or declaration in compliance with 37 CFR 1.63 and 1.64 referring to such items), and a petition under 37 CFR 1.182 (with the \$130.00 petition fee (37 CFR 1.17(h))) requesting the later filing date **must** be filed within **TWO MONTHS** of the date of this Notice.

III. The failure to file a petition (and petition fee) under the above options (I) or (II) within **TWO MONTHS** of the date of this Notice (37 CFR 1.181(f)) will be treated as a constructive acceptance by the applicant of the application as deposited in the USPTO. **THIS TWO MONTH PERIOD IS NOT EXTENDABLE UNDER 37 CFR 1.136(a) or (b).** In the absence of a timely filed petition in reply to this Notice, the application will maintain a filing date as of the date of deposit of the application papers in the USPTO, and original application papers (i.e., the original disclosure of the invention) will include only those application papers present in the USPTO on the date of deposit.

In the event that applicant elects not to take action pursuant to options (I) or (II) above (thereby constructively electing option (III)), amendment of the specification to renumber the pages consecutively and cancel incomplete sentences caused by any omitted page(s), and/or amendment of the specification to cancel all references to any omitted drawing(s), relabel the drawing figures to be numbered consecutively (if necessary), and correct the references in the specification to the drawing figures to correspond with any relabelled drawing figures, is required. Any drawing changes should be accompanied by a copy of the drawing figures showing the proposed changes in red ink. Such amendment and/or correction to the drawing figures, if necessary, should be by way of preliminary amendment submitted prior to the first Office action to avoid delays in the prosecution of the application.

05/15/2002 RMEBRAHT 00000019 10043784

01 FC:122

130.00 DP

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*A copy of this notice MUST be returned with the reply.*

*Jamaye A*

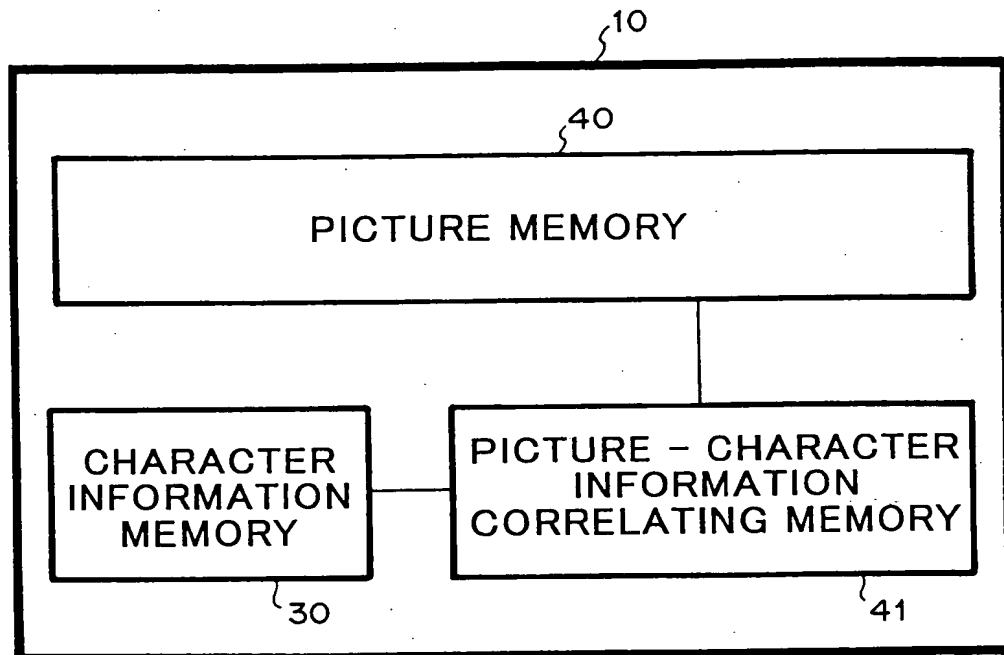
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



**FIG.2**  
(PRIOR ART)



**FIG.4**

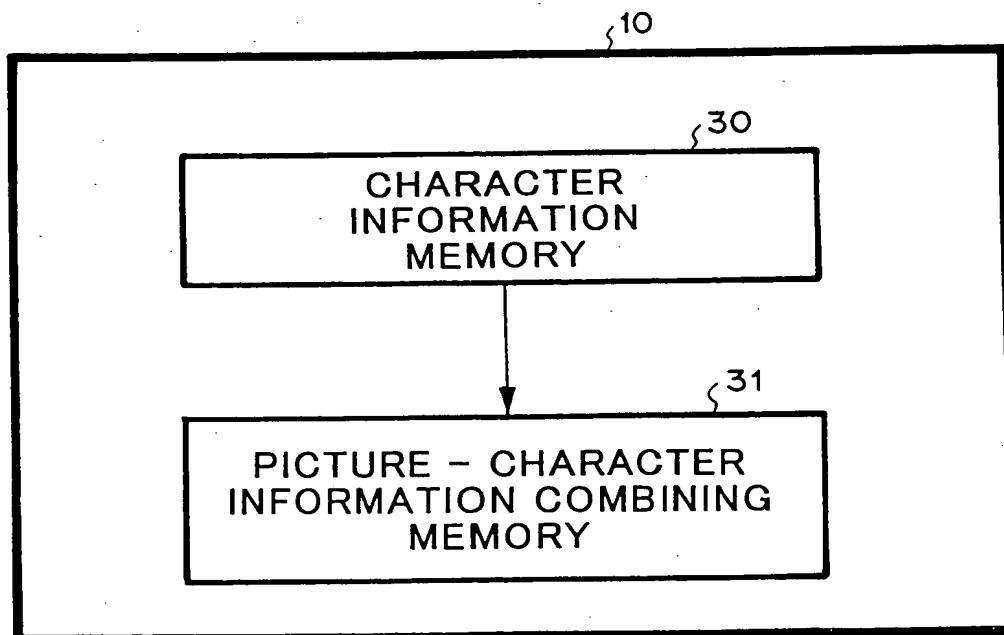


FIG.3

